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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/804,250	03/13/2001	Yutaka Kai	837.1963/JDH	9136

21171 7590 10/06/2003

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EXAMINER

JACKSON, CORNELIUS H

ART UNIT	PAPER NUMBER
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2828

DATE MAILED: 10/06/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/804,250

Applicant(s)

KAI ET AL.

Examiner

Cornelius H. Jackson

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.


- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 24 July 2003.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-24 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-24 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

  
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**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

**Priority under 35 U.S.C. §§ 119 and 120**

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All   b) ☐ Some \*   c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)                      4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)                      5) ☐ Notice of Informal Patent Application (PTO-152)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_                      6) ☐ Other: \_\_\_\_\_

## **DETAILED ACTION**

### ***Acknowledgment***

1. Acknowledgment is made that applicant's Amendment, filed on 26 December 2002, has been entered. Upon entrance of the Amendment, claims 1, 9, 13, 18 and 21-24 were amended. Claims 1-24 are now pending in the present application.

### ***Continued Examination Under 37 CFR 1.114***

2. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 24 July 2003 has been entered.

### ***Claim Rejections - 35 USC § 102***

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in-

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(1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effect under this subsection of a national application published under section 122(b) only if the international application designating the United States was published under Article 21(2)(a) of such treaty in the English language; or  
(2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that a patent shall not be deemed filed in the United States for the purposes of this subsection based on the filing of an international application filed under the treaty defined in section 351(a).

4. Claims 1-6 and 8-12 are rejected under 35 U.S.C. 102(e) as being anticipated by Stayt, Jr. et al. (6389046). Regarding claim 1, Stayt, Jr. et al. disclose a light source device **Figs. 1 and 4** comprising a plurality of laser diodes **110/111**; a temperature sensor **190** provided in the vicinity of the plurality of laser diodes **110/111**; a control loop **700/790** for controlling the temperature of the plurality of laser diodes **110/111** according to an output from the temperature sensor **190** and temperature control conditions for the laser diodes **110/111** to thereby control the oscillation wavelengths of the plurality of the laser diodes **110/111**; and means for compensating the temperature control conditions, **see col. 5, line 60-col. 6, line 39 and col. 7, line 1-col. 8, line 3**.

Regarding claim 2, Stayt, Jr. et al. disclose the oscillation wavelengths of the plurality of laser diodes are different from one another and are selectively driven, **see Fig. 4**.

Regarding claim 3, Stayt, Jr. et al. disclose the temperature sensor is a thermistor **190**, **see col. 8, lines 6-8**.

Regarding claim 4, Stayt, Jr. et al. disclose the change in the temperature control condition for the reference laser diode comprises a result of comparison between an initial set temperature and a latest set temperature, whereby a deterioration of the temperature sensor reflects the compensation of the temperature control conditions of

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the laser diodes other than the reference laser diode, **see col. 5, lines 52-59, col. 6, lines 24-67 and . col. 8, lines 3-8.**

Regarding claim 5, Stayt, Jr. et al. disclose the stated limitations, **see col. 7, lines 1-41.**

Regarding claim 6, Stayt, Jr. et al. disclose the positions of the plurality of laser diodes and the reference laser, **see Figs 1 and 4.**

Regarding claim 8, Stayt, Jr. et al. disclose the stated limitations, **see col. 3, lines 37-60.**

Regarding claims 9-12, Stayt, Jr. et al. teach all of the stated limitations, **see the corresponding claims above.** Also, the recitation that a wavelength control device has not been given patentable weight because it has been held that a preamble is denied the effect of a limitation where the claim following the preamble is a self-contained description of the structure not depending for completeness upon the introductory clause. *Kropa v. Robie*, 88 USPQ 478 (CCPA 1951).

5. Claims 1-12 are rejected under 35 U.S.C. 102(e) as being anticipated by Volz et al. (6389046). Regarding claim 1, Volz et al. disclose a light source device **Figs. 1A and 8A** comprising a plurality of laser diodes **102/832**; a temperature sensor **812** provided in the vicinity of the plurality of laser diodes **102/832**; a control loop for controlling the temperature of the plurality of laser diodes according to an output from the temperature sensor **812** and temperature control conditions for the laser diodes **102/832** to thereby control the oscillation wavelengths of the plurality of the laser

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diodes, **see col. 4, lines 13-23 and col. 6, line 21-col. 7, line 13**; and means for compensating the temperature control conditions, **see Fig. 8C and col. 7, lines 14-30**.

Regarding claim 2, Volz et al. disclose the oscillation wavelengths of the plurality of laser diodes are different from one another and are selectively driven, **see Fig. 1**.

Regarding claim 3, Volz et al. disclose the temperature sensor is a thermistor **812, see Fig. 8B**.

Regarding claim 4, Volz et al. disclose the change in the temperature control condition for the reference laser diode comprises a result of comparison between an initial set temperature and a latest set temperature, whereby a deterioration of the temperature sensor reflects the compensation of the temperature control conditions of the laser diodes other than the reference laser diode, **see col. 6, lines 21-65**.

Regarding claim 5, Volz et al. disclose the stated limitations, **see col. 4, lines 13-23**.

Regarding claims 6 and 7, Volz et al. disclose the positions of the plurality of laser diodes, the reference laser, and temperature sensor, **see Figs. 1, 2, 8A and 8B**.

Regarding claim 8, Volz et al. disclose the stated limitations, **see Fig. 8C and col. 7, lines 14-30**.

Regarding claims 9-12, Volz et al. teach all of the stated limitations, **see the corresponding claims above**. Also, the recitation that a wavelength control device has not been given patentable weight because it has been held that a preamble is denied the effect of a limitation where the claim following the preamble is a

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self-contained description of the structure not depending for completeness upon the introductory clause. *Kropa v. Robie*, 88 USPQ 478 (CCPA 1951).

***Claim Rejections - 35 USC § 103***

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claim 7 is rejected under 35 U.S.C. 103(a) as being unpatentable over Stayt, Jr. et al. (6389046). Stayt, Jr. et al., as applied to claims 1-6 and 8-12 above, teach all of the stated limitations, except for the temperature sensor being positioned near the center of the plurality of laser diode array. It would have been an obvious matter of design choice to place the temperature sensor near the center of the laser array, since applicant has not disclosed that by positioning the temperature sensor near the center of the array solves any stated problem or is for any particular purpose and it appears that the invention would perform equally well with the temperature sensor positioned near the control laser.

8. Claims 13-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Stayt, Jr. et al. (6389046) as applied to claims 1-12 above, and further in view of Eda et al. (5438579). Stayt, Jr. et al. teach all of the stated limitations, except for the second temperature sensor. Eda et al. teach a second temperature sensor **42**. It would have

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been obvious to one having ordinary skill in the art at the time the invention was made to use as many temperature sensors as desired in order to obtain a more accurate temperature reading, since it has been held that mere duplication of the essential working parts of a device involves only routine skill in the art. *St. Regis Paper Co. v. Bemis Co.*, 193 USPQ 8. Also it has been held to be within the general skill of a worker in the art to select a known material on the basis of its suitability for the intended use as a matter of obvious design choice. *In re Leshin*, 125 USPQ 416.

Regarding claims 14-20, Stayt, Jr. et al. teach all the stated limitations, **see claims 9-12 above**.

9. Claims 13-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Volz et al. (6501773) as applied to claims 1-12 above, and further in view of Eda et al. (5438579). Volz et al. teach all of the stated limitations, except for the second temperature sensor. Eda et al. teach a second temperature sensor **42**. It would have been obvious to one having ordinary skill in the art at the time the invention was made to use as many temperature sensors as desired in order to obtain a more accurate temperature reading, since it has been held that mere duplication of the essential working parts of a device involves only routine skill in the art. *St. Regis Paper Co. v. Bemis Co.*, 193 USPQ 8. Also it has been held to be within the general skill of a worker in the art to select a known material on the basis of its suitability for the intended use as a matter of obvious design choice. *In re Leshin*, 125 USPQ 416.

Regarding claims 14-20, Volz et al. teach all the stated limitations, **see claims 9-12 above**.



### ***Response to Arguments***

Applicant's arguments filed 24 July 2003 have been fully considered but they are not persuasive. Applicant argued neither Stayt nor Eda, either alone or in combination, discloses or suggests the features recited in each of the independent claims 1, 9, 13, 18, and 21-24 of the present application (using the recitation of claim 1 as an example) "a control loop for controlling the temperature of said plurality of laser diodes according to an output from said temperature sensor and temperature control conditions for said laser diodes thereby control the oscillation wavelengths of said plurality of laser diodes" and "means for compensating temperature control conditions for said laser diodes other than the reference laser diode, according to a change in temperature control condition for said reference laser diode, wherein the reference laser diode is operated at temperatures lower than or equal to an ordinary temperature".

In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies are taught by the prior art as shown in the claim rejections above.

### ***Conclusion***

10. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Both Broutin et al. (6516010) and O'Brien et al. (6560255) disclose the stated invention.

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Cornelius H. Jackson whose telephone number is (703) 306-5981. The examiner can normally be reached on 8:00 - 5:00, Monday - Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Paul Ip can be reached on (703) 308-3098. The fax phone numbers for the organization where this application or proceeding is assigned are (703)308-7722 for regular communications and (703)308-7721 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703)308-0956.



chj  
September 17, 2003



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